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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	790001-2035
First Inventor	Masaru KITO
Title	Semiconductor Memory Device with Surface Strap and Method of Fabricating the Same
Express Mail Label No.	EV205872376US

03965 U.S. PTO
11/64415
10/28/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 40]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s) [pp. 34 to 39]
 - Abstract of the Disclosure [p. 40]
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 30]
5. Oath or Declaration [Total Pages 2]
 - a. Unexecuted original
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76.

Addressed to: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CFR)
 - b. Specification sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents)
10. 37 CFR 3.73(b) Statement Power of Attorney *(when there is an assignee)*
11. Power of Attorney *(see Declaration) (2 pp.)*
12. English Translation Document *(if applicable)* Copy of IDS Citations (1 reference)
13. Information Disclosure Statement (IDS)/PTO-1449
14. Preliminary Amendment
15. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach from PTO/SB/35 or its equivalent.
17. Other: Claim of Priority (2 pp.)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____
Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		20999	or <input type="checkbox"/> Correspondence address below																										
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Name</td> <td colspan="5"></td> </tr> <tr> <td>Address</td> <td colspan="5"></td> </tr> <tr> <td>City</td> <td>State</td> <td colspan="4">Zip Code</td> </tr> <tr> <td>Country</td> <td>Telephone</td> <td colspan="4">Fax</td> </tr> </table>						Name						Address						City	State	Zip Code				Country	Telephone	Fax			
Name																													
Address																													
City	State	Zip Code																											
Country	Telephone	Fax																											
Name (Print/Type)	Grace L. Pen	Registration No. (Attorney/Agent)	39,440																										
Signature	<i>Grace L. Pen</i>		Date	August 20, 2003																									

CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION

Attorney Docket No. : 790001-2035

Title : ***SEMICONDUCTOR MEMORY DEVICE WITH SURFACE STRAP AND METHOD OF FABRICATING THE SAME***

Filed : August 20, 2003

Applicants : Masaru KITO et al.

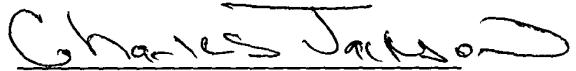
Mailed : Via Express Mail Label # EV205872376US on August 20, 2003

I hereby certify that this paper or fee, and a Patent Application and accompanying papers for the above-referenced application, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Charles Jackson

Typed/printed name of person mailing paper or fee



Signature

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$ 750

Complete if Known

Application Number	Not Yet Assigned
Filing Date	August 19, 2003
First Named Inventor	Masaru KITO
Examiner Name	Not Yet Assigned

Attorney Docket No.

790001-2035

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:Deposit
Account
Number

50-0320

Deposit
Account
Name

Frommer Lawrence & Haug LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
- Charge any additional fee(s) during the pendency of this application
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	\$	Fee Code	\$		
1001	750	2001	375	Utility filing fee	\$ 750
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					\$ 750

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	14	-20** =	Extra Claims	Fee from below	Fee Paid
			0	X	0
Independent Claims	2	-3** =	0	X	0
			0	X	0
Multiple Dependent				X	0
				280	=

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	\$	Fee Code	\$		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					0

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or Cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1450	2254	725	Extension for reply within fourth month	
1255	1970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1300	2453	650	Petition to revive- intentional	
1501	1300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
8021	40	8021	40	Submission of Information Disclosure Stmt	
				Recording each patent assignment per property (times number of properties)	
				Filing a submission after final rejection (37 CFR 1.129(a))	
				For each additional invention to be examined (37 CFR 1.129(b))	
				Request for continued examination (RCE)	
				Request for expedited examination of a design application	

SUBTOTAL (3) 0

* Reduced by Basic Filing Fee

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)		Grace L. Pan		Registration No. (Attorney/Agent)	39,440	Telephone	212-588-0800
Signature				Date	8/20/2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggesting or reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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